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AMENDED ORDINANCES (AS PER UGC GUIDELINES-IIIRD AMENDMENT) RELATING TO THE RECOGNITION OF PG TEACHERS, RESEARCH GUIDES, REGISTRATION AND EVALUATION PROCESSES OF PH.D. DEGREE

ORDINANCE STANDS AFTER AMENDMENTS**Ordinance 167(A):- NORMS FOR RECOGNITION AS A POST-GRADUATE TEACHER :-**

A teacher to be recognized as Post-Graduate teacher should fulfill the following norms.

1. He/She should be permanent teacher having five years of teaching experience in the relevant subject at Under-graduate level.

OR

2. He/She should be permanent teacher with M. Phil. degree (from UGC recognized university only) and four years of teaching experience in the relevant subject at Under-graduate level.

OR

3. He/She should be permanent teacher with Doctoral degree and two years of teaching experience in the relevant subject at Under-Graduate level.

Or

4. One who is directly recruited in the University Department or Colleges exclusively for PG courses through a duly constituted Selection Committee shall be deemed to be a recognized Post Graduate Teacher.

TEACHER IN THE FACULTY OF ENGINEERING & TECHNOLOGY**(B) NORMS FOR RECOGNITION AS A POST-GRADUATE TEACHER**

1. He/She should be an approved full time teacher in the Degree College/University with Master's Degree in the relevant subject with at least five years of teaching experience at Under-graduate or Post-graduate level.

Or

2. He/She should be Permanent Teacher with doctoral degree in Engineering & Technology/ relevant subject & two years of teaching experience.

Or

3. One who is directly recruited in the University Department or Colleges exclusively for PG courses through a duly constituted Selection Committee shall be deemed to be a recognized Post Graduate Teacher.

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(C) NORMS FOR RECOGNITION AS A POST-GRADUATE TEACHER IN LAW.

A teacher to be recognized as a Post-Graduate teacher should fulfill the following norms.

1. He/She should be permanent teacher having five years of teaching experience at Under-graduate level i.e. LL.B.

OR

2. He/She should be permanent teacher with Doctorate in Law and three years of teaching experience at Under-graduate level i.e. LL.B.

Or

3. One who is directly recruited in the University Department or Colleges exclusively for PG courses through a duly constituted Selection Committee shall be deemed to be a recognized Post Graduate Teacher.

**(D) NORMS FOR RECOGNITION OF A TEACHER AS RESEARCH GUIDE FOR
Ph.D. DEGREE.**

A teacher to be recognized as Ph.D. Guide should fulfill the following norms:-

1. (a) He/She should be a permanent teacher in the university department or affiliated senior college or research center in the relevant subject.
- (b) He/She should have a **full time approved regular** four years teaching experience at the degree classes or research experience or professional experience in the relevant subject of which two years should be after obtaining Ph.D. degree.
- (c). A teacher directly recruited in the university department shall be eligible to be recognized as a research guide with at least three years regular teaching experience of which two years should be after obtaining Ph.D.
- (d). He/She should have five quality publications (other than Ph.D. work) in recognized state / National / International level journals in the concerned subject (with ISSN/ISBN Number). Or He / She should have at least two reference books to his / her credit which should be independent. The publication of doctoral thesis in book form is excluded. OR Minimum three international publications of quality with cumulative impact factor five.

OR

2. A person having Professional / Research experience of minimum two years with published research papers in referred journals having sum of impact factor ten.

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3. A teacher / research guide who is working in the jurisdiction of other University area and is a recognized guide of that University or any University in the concerned subject can be recognized as a guide for Ph.D. students of this University; such guide shall be allowed to register not more than two candidates from this University area.

E) i) The maximum number of candidates to be enrolled for Ph.D. under a supervising teacher will be **Eight**.

ii) **Three** foreign / NRI / JRF (Government Agencies) students may be allowed to the Research Guide as over and above quota.

iii) A recognized guide shall not be allowed to register a candidate for Ph.D. who is blood related/closely related to him/her.

iv) A teacher of other university, if recognized as research guide by this university, will be allowed to register maximum two students for Ph.D. However maximum number of research students under his/her guidance should not exceed eight all together as per UGC norms.

F) A recognized guide retiring from the service due to Superannuation, new registration of Ph.D. research candidates may not be allowed after retirement. However, the enrolled students should be continued till submission of their thesis & award of Ph.D. Notification / Degree.

BSR Professors and Emeritus Professors working in the departments be allowed to guide Ph.D. Students during the tenure.

G) A teacher who is a recognized guide of this University and who proceeds on lien outside of the University area may continue to guide the candidates already registered but is not entitled to register a fresh candidate during the lien period. A teacher proceeding on lien should assign the work of supervision to a co-guide in consultation with the Head of the department / Director of the research centre.

H) **Cancellation of Guideship:**

Recognition granted as a research guide to teacher shall be cancelled, in the following

Cases :-

1. No research scholar registers under him/her or submits the thesis for the award of Ph.D. degree within ten years from the date of recognition. However in such cases, explanation of the concerned guide and opinion of the concerned Dean shall be submitted to the Vice-chancellor for consideration.

OR

2. Does not publish at least two research papers in peer reviewed research journals within seven years from the date of recognition.

OR

3. Involved in violation of rules such as gross administrative and all types of academic lapses,
financial irregularities and malpractices, willful and perpetual negligence of duties and activities involving moral turpitude.

OR

4. A research guide who is found willfully involved in plagiarism, is liable for criminal prosecution apart from cancellation of guide-ship based on fact finding by the concerned RRC.

Ordinance 168 :-

A teacher directly appointed in the University Department as a Professor or Associate Professor shall be deemed to be recognized as Research Guide for the Ph.D. Degree in relevant subject.

ORDINANCE 187:-**Eligibility Criteria and Registration Process for Ph.D.**

A candidate can register for Ph.D. degree who satisfies the following conditions:-

- (A) He/She should have the Masters Degree in the Faculties of Arts/Fine Art / Social Sciences / Science / Law / Commerce / Management Science/ Tourism Administration / Engineering / Technology / Education, of this University or any other University, recognized by this University as equivalent thereto.
- (B) (i) He/She must have minimum of 55% of marks at the Post-Graduate examination. Candidates belonging to SC/ST category may be given relaxation upto 5 %.
- (ii) Persons working in the Corporate Sector, Central or State Government, Defence having 05 years of experience may be given relaxation upto 5%.
- (iii) In case of foreign students the condition of 55 % marks at post-graduate shall remain mandatory, if he/she has obtained P.G. degree from this University or from any other University in India.
- (iv) In case of foreign students who have obtained equivalent P.G. degree from any University outside India, grade points equivalent to second class shall be considered for registration.
- (v) The relaxation of 5 % marks will be given to physically challenged/ disabled candidates.
- (vi) A Candidate who desires to register as part time researcher should submit NoC from the employer.
- (C) Teachers who were already in-service before 19-9-1991 i.e. when the UGC requirements of 55% marks (B+) was not needed as a prerequisite requirement for appointments to senior colleges -may be exempted from the condition of 55% of marks.
- (D) A Candidate who desires to register for PhD degree will have to pass qualifying examination i.e PET as per UGC guidelines. It would be an Aptitude

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Test to be conducted by the university. The candidate who has qualified the PET examination of this University will be issued a certificate of PET, such candidates shall be eligible for Ph.D. registration forever.

(E) Admission and reservation policy shall be conduct as per existing rules of PG Admissions of this University and affiliated colleges.

Exemption from PET Examination

1. Foreign national / NRI candidates.
2. The Candidates who have qualified CSR- CSIR - UGC-NET / SLET / JRF / M.Phil /GATE/G-PAT/Rajiv Gandhi / Maulana Azad (UGC) Fellowship , other fellowships instituted by the Govt. of India /UGC/Govt. of Maharashtra & other such research funding agencies.
3. The teachers with five years approved service in U.G/P.G.
4. The candidates already possessing Ph.D. Degree in any discipline.
5. The Candidates having 05 years working experience (Professional/Administrative) in Industries, National Institutes, Corporate R&D, State/Central Government, Semi Government Organizations in the capacity as Administrative Officers, Scientific Officers, Managers, Assistant Registrars are also exempted from the PET examination.

Pre-Ph.D. Course Work

All Provisionally registered candidates should complete the Pre Ph.D. Course work of eight credits within one year.

The course work shall be based on four components:

- I) Research Methodology (including Quantitative Methods and Computer Application) : 2 Credits
- II) Seminar (Minimum One) : 1 Credit
- III) **Survey of literature on the research problem / topic : 1 Credit**
- IV) Subject Course Knowledge/ Allied Courses : 4 credits (2+2)
- V) The course work shall be conducted & completed in the concerned Dept of the university & completion certificate will be issued to the research students by the HoD.

Exemption from Pre-Ph.D. Course Work

1. Those students who have qualified M.Phil. examination from recognized University such qualified students have been exempted from the course work.
2. The candidates already possessing Ph.D. Degree in any discipline.
3. A Candidate who has Published at least three research papers in National / International Journals with impact factor.

Qualified candidate will be allocated to the guide by RRC as per merit and the availability of the seats, (that will depend on consent of supervisor and acceptance of

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research proposal) and reservations policy decided by state & central government/UGC/University from time to time.

However, while allocating the candidates, the RRC should observe, in the interest of the quality of research, that the supervisor and the candidate shall share a common academic ground and arrive at a mutual consensus about research project.

Procedure of Ph.D. Registration Proposal

- (F) The candidates who fulfill the conditions mentioned above in (A), (B), (C) and (D) shall submit their applications in prescribed Form for registration to Ph.D. Degree along with supporting documents, the thrust area of research / Proposed outline of research work i.e. design in the following format only.
- i) Title of the proposed research
 - ii) Need & significance of the research
 - iii) Survey of Literature
 - iv) Objectives of the research
 - v) Hypothesis
 - vi) Methodology & tools (Approach)
 - vii) Chapters Scheme
 - viii) Preliminary Bibliography
- (G) 1) The degree of Doctor of Philosophy shall be conferred to a candidate who has pursued advanced study and research for not less than two academic years, and fulfills the other conditions laid down from time to time.
- 2) The Management Council shall permit a candidate to submit his/her thesis by relaxing condition mentioned in ordinance by judging the case on its merit. However, the relaxation shall not be allowed for more than a period of six months.
- However, such candidates should submit their final synopsis three months before submitting the thesis to the Director BCUD.
- 3) At the time of submission of thesis, candidate should have published at least two research papers in recognized National/International referred Journals with Impact Factor wherever possible.

(H) Procedure of Ph.D. registration under Co-Discipline Mode :-

- 1) A candidate shall be allowed to register for Ph.D. in Interdisciplinary studies who satisfied the qualification laid down as above.
- 2) If the students have submitted their application with NOC of the Chairman of Board of Studies in basic subject & concerned parent faculty of Dean. The Research & Recognition Committee shall approve the registration & topic of the thesis in concerned subject. In such case recommendation of concerned proposed Research Guide should be requisite in interdisciplinary studies or mode.
- 3) In such case the Ph.D. degree will be awarded to the student in basic subject in which he/she has acquired post graduate degree.

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- 4) A student who has acquired Post-Graduate degree of any discipline could be registered in another discipline in the subject of the same faculty or different faculty as per procedure laid down in ordinance.

(I) Procedure of Ph.D. registration under Inter Disciplinary / Multi Disciplinary Mode :-

- 1) A candidate shall be allowed to register for Ph.D. in Interdisciplinary studies who satisfied the qualification laid down as above.
- 2) The candidate seeking registration under Inter Disciplinary / Multi Disciplinary research shall possess master degree with conditionality laid down in O. 169 in one of the disciplines of Inter Disciplinary / Multi Disciplinary research.
- 3) In such cases, case-wise Inter / Multi Interdisciplinary RRC shall be constituted as follows :-
 - a) Deans of the concerned faculties.
 - b) HOD of the concerned disciplines.
 - c) Internal Experts in the concerned discipline.
 - d) Two External Experts in the concerned research area nominated by Hon'ble Vice-Chancellor.
 - e) PVC / Senior Dean will be chairperson of this RRC.
- 4) In such cases the Ph.D. Degree shall be awarded with mentioned of Inter / Multi Disciplinary.
- 5) In such cases the research guide shall be of basic discipline & co-guide / guides of concerned disciplines.

Ordinance 188 :- Selection of the Candidates

- (A) Eligible candidates shall be interviewed by a Selection Committee consisting of the following:-
 1. The Dean of the Faculty (Chairman)
 2. The Head of the University Department/Director, Recognized University Research Centre.
 3. The Chairman of the Board of Studies
 4. Two experts in the subject, not below the rank of Readers, who have successfully guided at least five Ph.D. candidates and have published research work in recognized or reputed national or international journals, anthologies, etc. One of them expert should be from outside of the University, and to be nominated by the Vice-Chancellor.

The eligible candidates shall make presentation about their research proposal to the above committee either in administrative building or respective Departments with the consent of the Dean, and shall make recommendation to Research & Recognition Committee. In case of foreign national /NRI / JRF/UGC, CSIR/DST, BSR, ICSSR, ICHR, Rajiv Gandhi Fellowship, Maulana Azad Fellowship & other such research fellowship awarded by central govt. & state govt. agencies / National state level research funding agencies such student may submit their application through research guide, they are registered provisionally followed by the regular procedure after that the candidate shall appear ensuing RRC in concerned subject.

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- (B) The Research & Recognition Committee on recommendation of the Selection Committee shall approve the registration.
- (C) The Supervisor shall take a student on provisional basis for a period not more than six months from the date of registration as mentioned in the University letter after which the Supervisor shall report to the University regarding the confirmation. In case registration will be confirmed retrospective effect from the date of provisional registration, if Hon'ble Vice-Chancellor or RRC may be recommended.

Ordinance 189 :-

- (A) A teacher in the University or in an affiliated college or any other person recognized by the University as such who satisfies the qualifications laid down in O. 187 (E) above, may supplicate for the degree of Doctor of Philosophy independently after completing ten years of teaching in an affiliated senior college provided that he/she registers as a research student under the provision of O. 187 (E).
- (B) A teacher applying for registration under O. 189 (A) shall do so through the Principal or Head of his institution. However in case of professional courses like engineering, architecture, law, industries, a candidates with minimum ten years of professional experience in the relevant areas of research and one who satisfies the qualification laid down in Ordinance 187 above may supplicate for the degree of Doctor of Philosophy independently.

Ordinance 191 :-

There shall be a Committee for each subject called Research & Recognition Committee consisting of the following members:-

1. The Pro-Vice-Chancellor
2. The Dean of the Faculty
3. The Chairman of the Board of Studies
4. The Head of the University Department, if any.
5. Two experts in the subject, not below the rank of Readers, who have successfully guided at least five Ph.D. students and have published research work in recognised or reputed national or international journals, anthologies, etc., one of them being from outside the University, to be nominated by the Vice-Chancellor.
6. An expert in the area of specialization nominated by the Vice-Chancellor, where a referee is to be appointed for evaluation of highly specialized topic or subject. The nominated member shall attend the meeting only for the particular item.
7. Provided that, if there is no Pro-Vice-Chancellor, the Dean shall officiate as the Chairperson of the Committee.

The Research & Recognition Committee for each Board of Studies shall-

- [1] Approve the topic of thesis or dissertation in the subject,
- [2] Recommend panel of referees for thesis or dissertation to the BUTR.
- [3] Recommend names of post-graduate teachers and research guides to the BUTR.
- [4] Advise the Board of studies or the Faculty, on measures to improve courses of studies at the post-graduate level,
- [5] Recommend to the BUTR, the course-structure for Pre-PhD. and M.Phil. Courses as the case may be for approval.

Ordinance 192 :-

- (A) Application for registration for research leading to the PhD. Degree shall be made in the prescribed form, through the Head of the Department or the Principal of the College concerned, to the Director, Board of College & University Development of the University duly signed by the Head of the Institution / Head of the University Departments. (where ever applicable)
- (B) Registration of candidates for research leading to the PhD. Degree shall be confirmed by the Director, Board of College and University Development as per recommendation of the Research & Recognition Committee.
- (C) Registration of Candidates shall be made after passing the qualifying examination/entrance test for Ph.D. during the academic year, however, provisional / confirmation admission shall be made only after the process is completed by Research & Recognition Committee as per rules & regulation laid down by the UGC as well as University.
- (D) Registration letters will be issued in the month of August and January after the respective RRC is conducted.
- (E) It would be obligatory for the research student to submit progress report of the research work at the end of every term of six months along with tuition fees within a period of one month. In case the candidate fails to submit the progress report in a stipulated period a fine of Rs.200/- per progress report per term shall be charged. Failure to submit two consecutive progress reports along with tuition fees the PhD. registration shall stand cancelled automatically and he/she will not be informed by the University office.
- (F) The Director of B.C.U.D. may permit a candidate to modify the topic of his research on the recommendation of the Supervising teacher and the Head of the Department concerned, if any, within a period of one year from the date of final registration.

Ordinance 193 :-

- (A) As provided in Ordinance-192 (A) and (B) a candidate will be required to pursue Advanced Study and Research under a guiding teacher either in the University or in any research Institution / Centre recognized for this purpose by the University. The duration of research period shall be two academic years. The research period shall be calculated from the date of registration. The students will have to submit final synopsis prior to three months before submission of thesis.
- (B) The maximum time limit for the submission of thesis for a full-time research student shall ordinarily be four years from the date of registration and for a part time student employed in an educational institution and professional organization; six years from the date of registration. If the candidate fails to submit the thesis within the prescribed time limit, he/she should re-register paying the prescribed fees for the period between expiry and re-registration along with two years tuition fees and dues if any with prior permission of RRC. After re-registration the candidate should pay tuition fees for the period till the submission of the thesis and will be eligible to submit the thesis within six months, provided there is no change in the topic. If the topic is changed, the candidate will have to submit the thesis after a period of two years including course work. This will be applicable to the existing registered candidates also.

If the Candidate fails to show any progress continuously for 8 years from date of admission, does not give seminars, does not submit regular progress reports his/her admission stands cancelled. Same will be intimated to Guide and the candidate.

Ordinance 194 :-

- (A) A candidate working full time / getting fellowship or scholarship shall be required to be present in the University or in the Institution referred to in O. 193 above, in accordance with the instruction of the guiding teacher who will keep a record of work and attendance and will report on the progress of his research to the Director, Board of College and University Development of the University at the end of each term.
- (B) In case of candidates getting fellowship/scholarship the Director (BCUD) may, on the recommendation of the guiding teacher, and the Head of the Department / Director of research centre, if any, give a candidates leave of absence for not more than six months, if it is in the interest of his research that he should work outside the jurisdiction of the University. In exceptional cases such leave of absence, etc. may be extended up to a period of one year.

Ordinance 195 :-

No candidate who is registered for the Ph.D. shall be allowed to put in attendance for, or appear at any other examination, (of this or any other University) except an examination leading to a Diploma in Foreign Languages granted by this University with the permission of the Supervising teacher, Continuance of scholarship will be subject to regular submission of progress report every six months. If the progress is not satisfactory, scholarship will be withhold.

Ordinance 196 :- Fees Structure

Registration fees, Tuition fees, Laboratory fees, fees for evaluation of thesis, recognition as Centre and continuation of recognition as Centre for College/Institution etc, shall have to be paid as prescribed by the University from time to time.

FEES FOR PhD. AND FEES FOR APPLYING FOR RECOGNITION AS A RESEARCH CENTRE.

- (A) Various Types of fees for PhD. candidate (Approved Teacher & Student of this university and approved teacher in the jurisdiction of the University) shall be as follows:
- 1) Registration fees Rs.1000 /-
 - 2) Evaluation of Thesis Rs.2000/- (at the time of submission of final synopsis)
 - 3) Laboratory charges where applicable Rs.6000/- per year for teachers & Rs.4000/- for research students.
 - 4) Tuition fees Rs.8000/- per year for Teachers and Rs.4000/- for students.
 - 5) Pre-Ph.D Course work fees Rs. 2000/-
- (B) Various Types of fees for PhD. candidate (Approved teachers & student of the other University) shall be as follows:
- 1) Registration fees Rs.1500/-
 - 2) Evaluation of Thesis Rs.3000/- (at the time of submission of final synopsis)
 - 3) Laboratory charges where applicable Rs.6000/- per year for teachers & Rs.4000 to research students.
 - 4) Tuition fees Rs.8000/- per year for Teachers and Rs.4000/- for students.
 - 5) Pre-Ph.D Course work fees Rs. 2000/-
- (C) The fees for foreign students and NRI (Non Resident Indian) students shall be five times as follows :
- 1) Registration fees Rs.5000 /-
 - 2) Evaluation of Thesis Rs.10,000/-
 - 3) Laboratory charges Rs.25,000/-
 - 4) Tuition fees Rs. 30,000/- per year
 - 5) Pre-Ph.D. Course work fees Rs. 10,000/-
- D] The candidates from non academic sectors; institutes/industries/banking etc the fees shall be as follows-
- 1) Registration Rs.5000/-
 - 2) Tuition fee Rs.15000/- per year
 - 3) Laboratory fee Rs.10000/- per year
 - 4) Fee for evaluation of Ph.D. thesis Rs.4000/-
 - 5) Pre-Ph.D. Course work fees Rs. 2000/-
- E] The honorarium for evaluation of Ph.D./M.Phil. thesis shall be as follows:-
- 1) Evaluation of thesis Rs.1000/- for each examiner.
 - 2) Viva-Voce **Rs.1000/-** for each examiner.
 - 3) Chairman of the Viva-voce **Rs.1000/-**.

Note :

- a) There shall be 10 % increase in registration fees, tuition fees, laboratory fees every academic year.
- b) The fees once paid by student shall not be refundable at any cost.
- c) All dues need to be cleared at the time of submission of final synopsis.
- d) If research guide of student from any other research centre and researcher use their laboratory during research period, in such case student shall pay 50 % laboratory and tuition fees to concerned research centre and 50 % fees shall be paid to university as laid in above ordinance.

Ordinance 197 :-

- (A) 1. The thesis of Language subjects shall be written in the respective Languages
Only, however, in special case of Sanskrit, Pali & Buddhism Disciplines the thesis may be allowed to submit on approval of the RRC by the researcher in Marathi, Hindi or English Languages.
2. In case of social science subjects the thesis can be written in English, Marathi or Hindi.
3. In case of other subjects the thesis shall be written in English only.
- (B) The candidate shall forward to the Director, Board of College and University Development through his guiding teacher a statement giving the title and final synopsis of the thesis, with a fee as prescribed by the University from time to time. Thereafter the candidate is allowed to submit his/her thesis after a period of minimum three months from the date of submission of his/her synopsis. However, in case the candidate fails to submit the thesis in prescribed time limit mentioned above, he will have to Re-register as per O. 193 (B).

Ordinance 198 :-(Submission Of THESIS)

- (A) A candidate on the completion of his work shall submit thesis to the Director, Board of College and University Development of the University in the Standard prescribed format.
- (B) Prior to the submission of the thesis the candidate shall make a pre-Ph.D. presentation in the concerned research center / department that may be open to all faculty members and research students for getting feedback and comments, which may be suitably incorporated into the thesis under the advice of the supervisor.
- (C) Ph.D. candidate shall publish two research papers in a referred journals wherever possible before the submission of the thesis and produce evidence of the same in the form of the reprint of research papers.
- (D) The Students are required to submit the certificate of completion of course work at the time of submission of final synopsis and should submit final synopsis in the prescribed form along with a fees receipt for examining the thesis.
- (E) Foreign Students should submit certificate of completion of “communication English speaking course” along with the “Certificate of completion of course work” at the time of submission of thesis.
- (F) A certificate signed by Supervising teacher, if any, that his work and progress have been satisfactory and that in the opinion of the Supervising teacher, if any, the thesis may be submitted for the award of the Degree of Doctor of Philosophy.
- (G) In view of the IPR rules a candidate submitting his / her thesis would be required to produce a certificate from the employer (Industrial Establishment) that the work has been duly approved.
- (H) Thesis shall be submitted in the form of hard bound copies (three), the copy of the thesis shall be submitted in two Compact Discs (C.D.), one CD shall be sent to the UGC within 30 days in Computer readable form and the University shall make the thesis available on the website.
- (I) In addition to the copies of the thesis mentioned in the (H) above the candidate shall submit the following documents:-
- (i) In case a thesis is written in a language other than English, a summary of the thesis in 1000 words in English be submitted in five copies.

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- (ii) An abstract of the thesis, showing its salient features in 1000 words.
- (iii) A copy of the summary in 1000 words shall be made available in English.

Ordinance 199 :-

A candidate may utilize the references in his Ph.D. thesis; the contents of his published or unpublished work provided that it is properly acknowledged in the thesis by setting its reference as per the copyright act.

Ordinance 200 :-

The Research & Recognition Committee shall finalize names of six experts in the subject from outside Dr. Babasaheb Ambedkar Marathwada University as external referees to evaluate the thesis along with the synopsis. A panel of six recognized experts who are research guides in the relevant subject may be submitted by the research guide for the consideration of the Research & Recognition Committee. The Research & Recognition Committee may prepare a fresh panel of examiners or may accept six names out of the list submitted by the Research Guide. While preparing the panel care should be taken that three names are from within the State of Maharashtra and the remaining three should be from outside the State. One nearest external subject expert should be nominated by Hon'ble Vice-Chancellor to conduct the open viva-voce as an examiner. In case of the evaluation of the thesis written in Marathi medium, external referees from University Departments, reputed Research Centers and Colleges within the state of Maharashtra could be appointed.

Ordinance 201 :- Evaluation and Assessment method

The referees shall submit their evaluation reports of Ph.D. thesis to the Director, BCUD of the University separately in the proforma prescribed (Annexure - I). (Marks system + Detailed Report).

Note: In case the external referees recommend acceptance of the thesis as per (A) the open viva shall be conducted as per procedure in (B) below.

- (A) On receiving favorable reports from all the three referees, the candidate will be required to appear (at his own expenses) for an Open Viva-Voce examination which shall be held on University campus.

However, the Vice-Chancellor may, under exceptional circumstances, permit to conduct viva-voce examination at a place other than the University headquarter.

- (B) **Procedure for the Open Viva-Voce:**

The date for the viva-voce examination shall be fixed by the Director, Board of College and University Development in consultation with the concerned Research guide and external referee.

Viva-Voce Board Consists of :-

- (1) HOD or Senior Professor in concerned research area which shall be nominated by Hon'ble Vice-Chancellor: (Chairperson),
 - (2) External Referee: (Preferably one of the Nearest referee)
 - (3) Supervisor: (Research Guide.)
- 1) For open viva-voce examination concerned Head of the Department, concerned teachers, Researchers may be invited. [Those interested in attending the Viva-Voce Examination, should seek prior permission of the concerned University Authorities].
 - 2) The number of the invitees attending viva-voce examination should not be less than ten.

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- 3) The Viva-Voce Examination shall be of one hour only. The presentation of the candidate is about 25 minutes; the interaction amongst the candidate and invitees is about 15 minutes, 10 minutes for external examiner remarks and 05 minutes each for the remark of the research guide and the chairman.
 - 4) The evaluation reports on the thesis submitted by the Internal and External Referees and Viva–Voce Examination shall be considered for declaration of the Ph.D. degree.
- (C) 1. In case the thesis is not approved and not recommended for award of degree of Ph.D. by (two external referees) or all the three referees the thesis shall be rejected.
2. In case the thesis is not approved and not recommended for the award of Ph.D. degree by one of the two external referees the RRC will appoint new referees as per Ordinance 181.
 3. In case one of the external referee recommends the acceptance of the thesis for the award of Ph.D. degree but another referee suggests the revision of the thesis the candidate may however rewrite and submit the thesis after revision within a period of one year from the date on which it is referred back. After resubmission of the thesis, it will be sent to the same examiner. If in case he / she is not available or declines to reexamine the thesis; it shall be sent for evaluation to the third referee by the Director, Board of College and University Development.
 4. In case one of the external referee rejects the thesis and another examiner recommends the revision, the revision of the thesis and its re submission as per (3) above be done first and if the referee to whom the thesis is referred recommends the acceptance of thesis for the award of Ph.D. degree; the RRC as per Ordinance 200 of the University shall appoint another referee in place of the referee who has rejected the thesis and the report from third referee be sought on the revised thesis.
 5. In case one of the external referee rejects, the thesis and another referee who has recommended revision reject the thesis after resubmission the thesis shall be treated as rejected & the question of appointing another referee will not be considered.
- Before viva-voce exam, candidate must submit the Revised/Corrected copy [Hard Bound (2)] of the thesis as per the comments/suggestions of external examiners. Separate note/reply to examiners comments and details of corrections made should be separately submitted with Certification by Candidate and Supervisor.
6. After the viva-voce examination the hard bound copy of the thesis shall be submitted along with the changes suggested by the referees, to the Central Library of the University and the submission letter of the library shall be submitted to the Office of the Director BCUD. After viva-voce, the notification will be handed over to the candidate on the same day, provided he/she has completed all formalities for the award of the degree as per university rules.

Ordinance 202 :-

1. The University can award Ph.D. Degree posthumously provided that the conditions in Ordinance-201 (A) (I) or (II) are fulfilled.
2. The work which has already been awarded a Degree by this or by any other University/ Institution shall not be considered for the award of Ph.D. Degree by this University.

N.B. A candidate satisfying the one or more of the eligibility criteria does not guarantee admission into Ph.D. degree. The Ph.D. admission is subject to the availability of vacancies with the Research Supervisors in the concerned subject, the candidates' academic record, the performance of the candidate in the Ph.D. Admission interview and the Govt. reservation policy.